UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sean A. McCarthy et al.

Art Unit : 1632

Serial No.: 09/803,589

Examiner: Unknown

Filed

: March 9, 2001

Title : N

: NOVEL GENES ENCODING PROTEINS HAVING PROGNOSTIC,

DIAGNOSTIC, PREVENTIVE, THERAPEUTIC AND OTHER USES

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicant submits the (16) references listed on the attached form PTO-1449, copies of which are enclosed.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: December 21 2001

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I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

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Substitute Form PTO-1449 \$\frac{1}{2}\$ (Modified)

U.S. Separtment of Commerce

Attorney's Docket No. 07334-325001

Application No. 09/803,589

Information Disclosure Statement
by Applicant
(Use several sheets if necessary)

Applicant Sean A. McCarthy et al.

Group Art Unit

(37 CFR §1.98(b))

Filing Date
March 9, 2001

U.S. Patent Documents Examiner Desig. Patent Filing Date Initial ID Number Issue Date Patentee Class If Appropriate Subclass AA AΒ AC AD ΑE AF AG AHΑI ΑJ ΑK

| | Foreign Patent Documents or Published Foreign Patent Applications | | | | | | | |
|----------|---|---------------|-------------|---------------|-------|----------|-------------|----|
| Examiner | Desig. | Document | Publication | Country or | | | Translation | |
| Initial | ID | Number | Date | Patent Office | Class | Subclass | Yes | No |
| | - AL | WO 96/01896 | 01-25-96 | PCT | | | | |
| | . AM | EP 874 050 A2 | 10-28-98 | Europe | | | | |
| | . AN | WO 98/56804 | 12-17-98 | PCT | | | | |
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| Examiner Signature | Date Considered | | | | |
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| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with | | | | | |
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